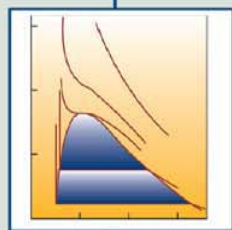
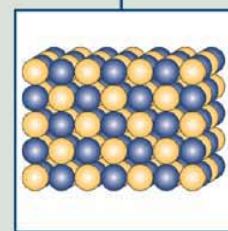


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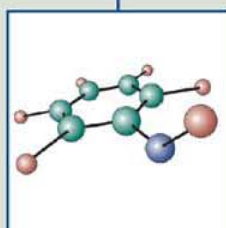
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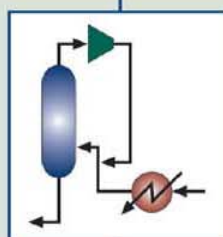
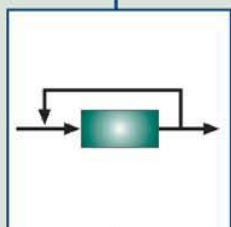


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# Cost-Effective Production of Pure Al<sub>13</sub> from AlCl<sub>3</sub> by Electrolysis

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**S** Supporting Information

**ABSTRACT:** An integrated electrolysis system was built to synthesize polyaluminum chloride with ultra high-basicity and even pure Al<sub>13</sub>. The system converts AlCl<sub>3</sub> solution from aluminum electrode foil industry waste to Al<sub>13</sub> chloride directly with reasonable cost, typically \$1.09 per kilogram as Al<sub>2</sub>(OH)<sub>5</sub>Cl·2H<sub>2</sub>O at 25 °C. Electrolyte temperature and current density were investigated to optimize the process. Ferron assay and <sup>27</sup>Al NMR results indicated that the purity of Al<sub>13</sub> is over 99% in product solutions. Ion chromatogram analysis confirmed that Cl<sup>-</sup> ions accounted for about 96.5% in all counteranions. Our integrated system is the first instance to obtain pure Al<sub>13</sub> polycations directly. Similar high purity products are only available after tedious purification. This effective and economic synthesis procedure has potential for mass production of Al<sub>13</sub> for water treatment and catalyst as well as cosmetic industries.

## 1. INTRODUCTION

China is the largest producer of aluminum electrode foil for electrolytic capacitors. The aluminum electrode foil industry uses HCl to etch the smooth aluminum foil (>99.95% purity) surface and, consequently, produces a large amount of waste acid containing AlCl<sub>3</sub>. The acid can be recovered by diffusion dialysis (DD),<sup>1,2</sup> while the disposal of the waste solution containing high purity AlCl<sub>3</sub> and a small amount of HCl remains an environmental problem. Typically, the AlCl<sub>3</sub> solution was neutralized by lime and discharged, which is neither cost-effective nor environmental friendly. It will be very desirable to find a method to convert the waste solution into a highly valuable product at low cost, so that not only waste emission is minimized but also useful product is obtained.

On the other hand, polyaluminum chloride (PAC) has been a very effective material in water treatment. PAC refers to partially neutralized aluminum chloride, defined as Al<sub>m</sub>(OH)<sub>n</sub>Cl<sub>3m-n</sub>, where  $m \geq 1$  and  $0 < n \leq 3m$ . The neutralization degree, also called basicity of the PAC, is defined by  $n/3m$ .<sup>3</sup> Aluminum chlorohydrate (ACH), which has an empirical formula of Al<sub>2</sub>(OH)<sub>5</sub>Cl·2H<sub>2</sub>O, has the highest basicity at ~83%. The dominate Al specie in ACH is Al<sub>13</sub>; Keggin ε-Al<sub>13</sub> ([AlO<sub>4</sub>Al<sub>12</sub>(OH)<sub>24</sub>(H<sub>2</sub>O)<sub>12</sub>]<sup>7+</sup>) polycations.<sup>4,5</sup> Al<sub>13</sub> is a highly value-added chemical and was widely used as flocculants for potable water and wastewater treatment, catalysts, and cosmetics.<sup>3-21</sup> The latter applications require highly purified Al<sub>13</sub>.

The conventional synthetic procedure for ACH involves the reaction of pure metallic Al with HCl or AlCl<sub>3</sub> solutions at elevated temperature.<sup>3,4</sup> This procedure yields high purity ACH but suffers from high cost of metallic Al and long reaction time (several days or longer). An alternative method for Al<sub>13</sub> is solution chemistry with elegant reaction setups. Liu and co-workers developed a sophisticated process to inject NaOH into AlCl<sub>3</sub> solutions via ultrafiltration (UF) membranes to produce PAC with ~80% Al<sub>13</sub> with NaCl as the byproduct impurities.<sup>22</sup> Tang et al. developed an electrodialysis (ED) process to substitute anions in AlCl<sub>3</sub> solution with OH<sup>-</sup> with 2 anion

exchange membranes (AEMs), and PAC with ~60% Al<sub>13</sub> was obtained.<sup>23,24</sup> However, the present commercial AEMs have limited stability in alkali or chlorine solutions because of decomposition. Pratt et al. proposed a process by electrodialysis with bipolar membranes (EDBM).<sup>3</sup> EDBM is a promising method to produce ultra high-basicity PAC and ACH products, with HCl as byproducts. The bipolar membranes are much more expensive compared to conventional ion exchange membranes (IEMs), and their long-term stability is another concern.

The third method to produce PAC and ACH is electrolysis. Typically, metallic Al sheets serve as anodes in AlCl<sub>3</sub> or HCl solutions.<sup>10,13,25-28</sup> This process involves electrochemical accelerated reaction of pure metallic Al with HCl or AlCl<sub>3</sub> solutions. There are several drawbacks here. First, it is not cost-effective because pure Al metal is involved. Second, the Al anodes are consumables and need frequent replacements, which is not suitable for mass production. An alternative electrolysis process is to use inert electrodes directly.<sup>29,30</sup> Li et al. made related efforts to get PAC with ~91.6% Al<sub>13</sub> contents.<sup>30</sup>

The above synthesis methods are able to produce PAC with high Al<sub>13</sub> contents, but none of them are capable of producing pure Al<sub>13</sub> compounds. Further purification steps such as precipitation, metathesis, UF, or gel-filtration chromatography are needed to produce pure products.<sup>31-36</sup>

In this work, we designed and built an integrated electrolysis system with inert electrodes. The waste solution in the foil industry was a high purity AlCl<sub>3</sub> (typically 0.1–1.0 mol/L) solution with low concentration of HCl (typically 1/100–1/10 of AlCl<sub>3</sub> concentration). The free HCl can be converted to AlCl<sub>3</sub> smoothly by reacting with Al<sub>2</sub>O<sub>3</sub> or Al(OH)<sub>3</sub> filter cakes, which are available because alkali was used to neutralize the

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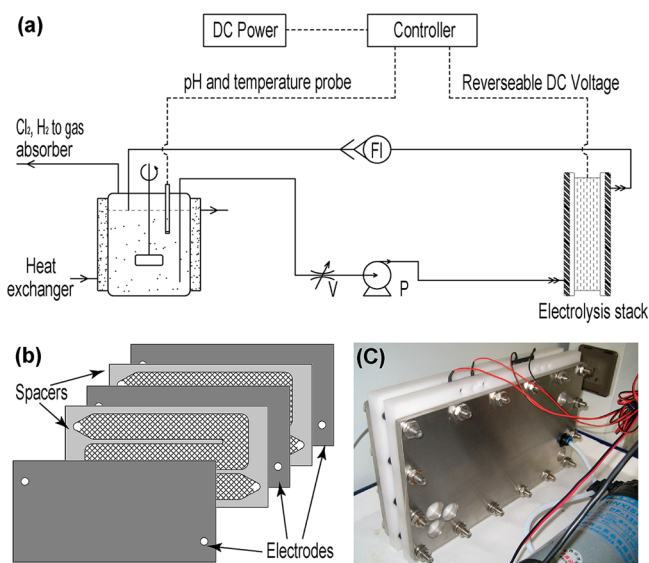
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waste acid currently. Thus, pure  $\text{AlCl}_3$  solution was used as raw material to validate the technology. The unique setup of the electrolysis system enabled us to convert such industry waste solution into pure  $\text{Al}_i$  chloride (over 99%) directly at low costs.

## 2. EXPERIMENTAL SECTION

**2.1. Equipment Setup.** The synthesizing system was designed and built on the basis of the National Instruments DAQmx platform. The system diagram was illustrated in Figure 1a. The core module in the system is a home-built electrolytic



**Figure 1.** (a) Process diagram of the integrated synthesis system, (b) configurations of the electrolysis stack, and (c) photograph of the electrolysis stack.

stack, which is inspired from the design of electrodialysis stacks.<sup>37</sup> The stack consists of a series of titanium electrodes with  $\text{RuO}_2\text{-IrO}_2$  coatings and PTFE spacers with turbulence nets. The inner configuration of the electrolytic stack was presented in Figure 1b, and the finished stack was shown in Figure 1c. The spacer and electrodes nearby formed an electrolyte flow path. The electrolyte solution was injected by a diaphragm pump (DP-130) and went through the tortuous path in the spacer. The stack contains 12 electrodes and 11 spacers, resulting in 11 parallel flow cells. The DC voltage was applied between the first and last electrodes. Relatively high flow velocity ( $\sim 8$  cm/s) as well as turbulence nets enhanced the liquid mixing in the stack.

**2.2. Synthesis Procedures.** The system is operated in a batch mode. In a typical operation, 2.0 L of 0.25 mol/L  $\text{AlCl}_3 \cdot 6\text{H}_2\text{O}$  (AR, Sinopharm Chemical Reagent Co, Ltd.) aqueous solution was filled in a stirred reaction tank. The temperature of the solution was controlled to  $25 \pm 1$  °C. A 28.5 V DC voltage was applied on the stack.  $\text{H}_2$  and  $\text{Cl}_2$  gases were generated in the stack and flushed out with the circulating solution. The toxic byproduct  $\text{Cl}_2$  gases can be easily eliminated by an alkali scrubber tower in full scale systems, generating disinfectant to compensate the costs. The polarity of the DC voltage was automatically switched every 2 min to avoid aluminum scaling on the electrode surfaces. The stack voltage, current, accumulated charge transfer, and electrolytic solution pH/temperature were recorded simultaneously every 0.5 s.

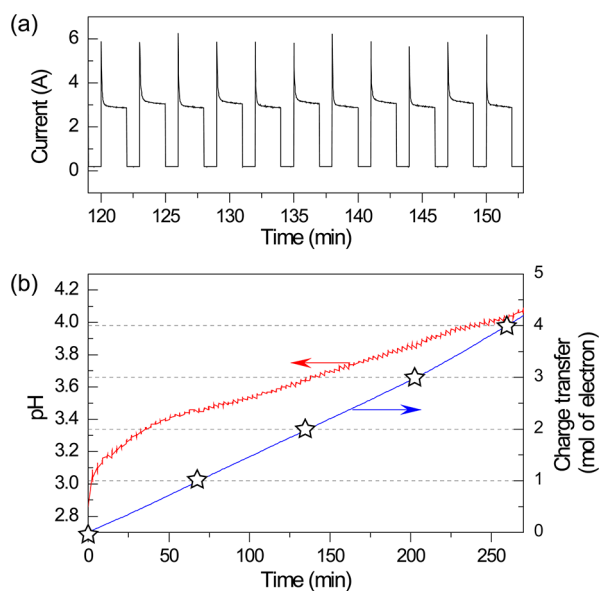
Samples were taken from the reaction tank before and during the electrolysis and then filtrated with a 0.2  $\mu\text{m}$  membrane. All samples and the final products were heated to 70 °C and kept for about 0.5 h before cooling to room temperature to reduce the soluble chlorine in solution.

**2.3. Analytical Methods.** The total aluminum ( $\text{Al}_t$ ) concentrations were determined by the ferron photometric assay.<sup>38–40</sup> The concentration of ferron is 2.57 mmol/L in the mixed assay solution, and the actual pH is 5.1. The molar ratio of the ferron to the  $\text{Al}_t$  was kept in the range of 50:1 to 10:1. All standards and samples were mixed with excess dilute HCl ( $\sim 20$  times of total aluminum concentrations) and heated to 70 °C for 3 h in sealed sample containers, which ensures that the aluminum species are monomer in solutions during the assays.

The Al species distributions of the product samples were analyzed by the timed-photometric assay as well as  $^{27}\text{Al}$  NMR spectroscopy.<sup>38–40</sup> Photometric assays were run on a Shimadzu UV-2450 UV–vis spectrophotometer at room temperature, and the absorbance was monitored at 370 nm. The working ferron solution was identical to  $\text{Al}_t$  determination.  $^{27}\text{Al}$  NMR was run on a Bruker Avance-III 500 spectrometer at room temperature. A mixing aqueous solution with 0.25 mL of  $\text{D}_2\text{O}$  and a 0.25 mL sample was used in the experiment. A 0.125 mol/L  $\text{AlCl}_3$  solution with 50% of  $\text{D}_2\text{O}$  was used as reference for 0 ppm. Ion chromatogram (DIONEX ICS-1000) was also employed to analyze the anions in the samples, and the testing details can be found in Supporting Information.

## 3. RESULTS AND DISCUSSION

**3.1. Data Profiles during the Electrolysis Reaction.** A typical current profile recorded by the system was presented in Figure 2a. The polarity of the DC voltage changed every 2 min to eliminate the scaling risks, and extra 1 min intervals of rest were added after each polarity switching. During the rest intervals, pH can be measured without interference by the DC electric field of electrolysis. The rest intervals can be shortened or even eliminated with limited precision lost. There are current spikes (as high as 6 A) during DC polarity changes,



**Figure 2.** Data profiles during the electrolysis process: (a) current curves; (b) pH profile and charge transfer curves. The sampling points are marked with a ☆, respectively, from sample A to E.

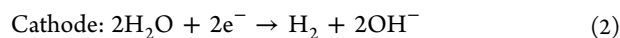
Table 1. Analysis Results of All Samples

sample index	charge transfer (mol of electron)	pH	Al <sub>t</sub> (mol/L)	Al <sub>a</sub> , %	Al <sub>b</sub> , %	Cl <sup>-</sup> (mol/L)	ClO <sub>3</sub> <sup>-</sup> (mol/L)	basicity, %
A	0.0	2.86	0.254	100.0	0.0	0.749	<10 <sup>-3</sup>	0.1
B	1.0	3.44	0.250	80.1	19.9	0.486	<10 <sup>-3</sup>	35.2
C	2.0	3.66	0.246	27.8	72.2	0.269	1.4 × 10 <sup>-3</sup>	64.9
D	3.0	3.86	0.251	0.8	99.2	0.154	5.3 × 10 <sup>-3</sup>	78.4
E	4.0	4.04	0.249	0.4	99.6	0.124	1.62 × 10 <sup>-2</sup>	81.8

which originated from the electrochemical capacitance of the electrodes. Then, the current fell and stabilized at about 2.8 A. The polarity switch is essential to ensure the stability of the synthesis process.

The normalized cell voltage is 2.60 V for each cell (28.5 V for 11 cells), which is close to the theoretical deposition voltage of 2.21 V. The effective area of the electrodes is 140 cm<sup>2</sup>; thus, the current density during the electrolysis is 20 mA/cm<sup>2</sup>. The cell voltage in this study is much lower than those in previous reports (typically 6–18 V for comparable current density),<sup>25–27,29,30</sup> leading to higher energy efficiency of the synthesis process. The stack design in this study has several advantages. First, the electrode distance in our stack is only 0.6 mm, resulting in a very small ohmic voltage drop; and the insulating PTFE spacer eliminated the risk of short circuit. The distance between electrodes in previous works is typically 10–30 mm, and the voltage drop on ohmic resistance of the electrolyte is relatively high. Second, tortuous turbulence accelerating spacers (common in commercial ED stacks, detailed spacer design presented in Figure 1b)<sup>37</sup> were employed to eliminate the concentration polarization, which caused considerable superpotential during the electrolysis process.

The following electrolytic reactions occurred on the electrode surfaces.



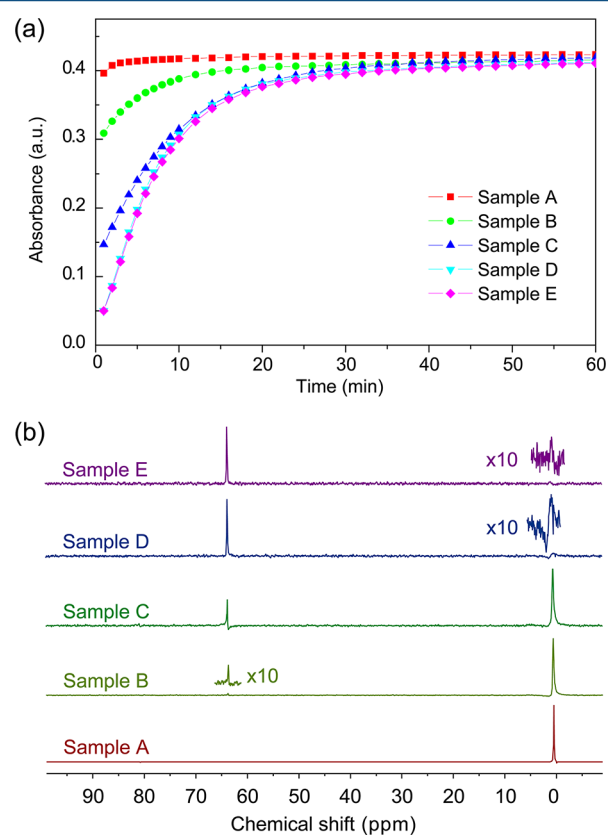
The net result of the electrolytic process is to substitute Cl<sup>-</sup> ions with OH<sup>-</sup> ions in AlCl<sub>3</sub> solution. The Al<sup>3+</sup> reacted with the yielded OH<sup>-</sup> ions to produce Al<sub>13</sub> polycations. The Al speciation during the electrolysis is very similar to that of metallic Al<sup>4</sup> as well as the base-injecting<sup>22</sup> method. Briefly, the [Al(H<sub>2</sub>O)<sub>6</sub>]<sup>3+</sup> ions hydrolyze and produce [Al(OH)<sub>2</sub>(H<sub>2</sub>O)<sub>4</sub>]<sup>+</sup> ions or [Al<sub>2</sub>(OH)<sub>4</sub>(H<sub>2</sub>O)<sub>6</sub>]<sup>2+</sup> dimers first as the Cl<sup>-</sup> is substituted by OH<sup>-</sup> in the electrolysis reaction. At the same time, the local high hydroxyl concentration close to the cathode has a good chance for initial tetrahedral Al(OH)<sub>4</sub><sup>-</sup> formation. The tetrahedral Al(OH)<sub>4</sub><sup>-</sup> migrates away from the cathode and coordinates with 12 [Al(OH)<sub>2</sub>(H<sub>2</sub>O)<sub>4</sub>]<sup>+</sup> or 6 [Al<sub>2</sub>(OH)<sub>4</sub>(H<sub>2</sub>O)<sub>6</sub>]<sup>2+</sup> quickly to form Al<sub>13</sub> polycation via hydroxyl bridges.<sup>4,22</sup> The enhanced mixing in the stack as well as the polarity switching abates the Al(OH)<sub>3</sub> formation, which are helpful for Al<sub>13</sub> production.

The pH of electrolyte solution increased during the reaction, which is shown in Figure 2b. Five samples were collected when charge transfer reached 0, 1, 2, 3, and 4 mol of electron, respectively. The sampling points were marked with star symbols (☆) in Figure 2b, and labeled as samples A, B, C, D, and E, respectively.

**3.2. Evolution of Al Species Distribution.** Total aluminum (Al<sub>t</sub>) concentrations of all samples were determined by photometric assays, and the results are shown in Table 1. The Al<sub>t</sub> is constant (0.25 mol/L) during the electrolysis,

indicating that there was little Al scaling generated on the electrode surfaces.

The main Al species in neutralized solutions can be classified into three catalogues: Al<sub>a</sub> (mononuclear Al), Al<sub>b</sub> (reactive polynuclear Al<sub>13</sub>), and Al<sub>c</sub> (mainly Al colloids). Timed-photometric assays of ferron are widely used to analyze the distribution of Al species in partially neutralized Al solutions.<sup>38–40</sup> The stacked curves of the timed-photometric assays are presented in Figure 3a. Initial absorbance of the assay



**Figure 3.** Analysis of Al species distributions in samples. (a) Absorbance curves of time-photometric ferron assays. (b) <sup>27</sup>Al NMR spectrum of the samples. Parts of the spectra at 0 and 63.5 ppm were enlarged 10 times to highlight the presence of small peaks.

solution is related to the Al<sub>a</sub> species, the absorbance increment during the assay is related to Al<sub>b</sub> concentration, and Al<sub>c</sub> concentration can be calculated by Al<sub>t</sub>. Sample A is initial acidic AlCl<sub>3</sub> solution with no Al<sub>b</sub> or Al<sub>c</sub> in it. The initial absorbance is 0.39, and the final absorbance is 0.42. The final absorbances of all other samples are nearly the same, confirming that there are no Al<sub>c</sub> either in these samples. Meanwhile, the initial absorbance of samples B, C, D, and E decrease dramatically, which means Al<sub>a</sub> concentrations decreased while Al<sub>b</sub> concentrations increased. For samples D and E, the assay curves are nearly identical, indicating that the

Al species distribution is stable during that period. There are nearly no  $Al_a$  in these two samples as the extrapolated absorbance is nearly 0 at 0 min.

$^{27}Al$  NMR is another widely used method to evaluate the Al species distribution.<sup>4,26,41</sup> The structure configurations of Al atoms in  $Al_a$  and  $Al_b$  species are different in aqueous solution and distinguishable in  $^{27}Al$  NMR spectra. The Al atoms of  $Al_a$  species are in octahedral environments, corresponding to a chemical shift of 0 ppm. The  $Al_{13}$  polycation has a Keggin structure, containing a central Al atom in a tetrahedral environment (63.5 ppm) surrounded by 12 Al atoms in octahedral environments (no signal in this configuration). The concentration ratio of  $Al_a$  and  $Al_b$  can be obtained from the intensities of 0 ppm and 63.5 ppm resonances in the spectra.<sup>42</sup> The  $^{27}Al$  NMR spectra of all samples are shown in Figure 3b. For sample A, the absence of 63.5 ppm signal confirmed that all Al atoms are in octahedral environments. As the electrolysis reaction began, the 63.5 ppm resonance increased and the 0 ppm resonance decreased. The concentration ratios of  $Al_a$  and  $Al_b$  for all samples were calculated from the relative intensities of 0 ppm peak and 63.5 ppm peak. The final Al species distributions are determined and shown in Table 1. All Al atoms in electrolyte solution were converted to  $Al_{13}$  Keggin structures after the charge transfer reached 3 mol electrons, resulting in  $Al_{13}$  with over 99% purity.

Direct synthesis of pure  $Al_{13}$  polycations is extremely difficult. The  $Al_a$  signals in the  $^{27}Al$  NMR spectrum exist even in high purity ACH product synthesized by the metallic Al oxidation method.<sup>4</sup> To produce pure  $Al_{13}$  products, tedious purification steps are frequently used.<sup>31–36</sup> For example, Shi et al. proposed a method to separate pure  $Al_{13}$  contents from PAC solutions by sulfate precipitation and nitrate metathesis.<sup>31</sup> Their purified products have a similar NMR spectrum as samples D and E. The integrated system and electrolysis procedure in this study are a direct and simple method to produce pure  $Al_{13}$  polycations, and the counteranion is  $Cl^-$ , which is desirable for practical applications.

**3.3. Byproduct Anions and Side Reactions.** Side reactions and byproduct are involved in most electrolysis processes. Specifically  $ClO^-$ ,  $ClO_2^-$ ,  $ClO_3^-$ , and  $ClO_4^-$  are possible byproduct anions in this study. High value-added applications such as deodorant and antiperspirant usually are very sensitive to these impurities, so it is important to figure out the levels of these anions. In this synthesis process, the pH of product solutions is slightly acidic (pH 3.5–4.0), so the  $ClO^-$  ions hardly exist since  $HClO$  ( $K_a = 3.4 \times 10^{-8}$ ) is a very weak acid. An ion chromatogram method was used to analyze the  $ClO_2^-$ ,  $ClO_3^-$ , and  $ClO_4^-$  byproduct anions as well as  $Cl^-$  in all samples. The anion chromatogram spectra were presented in Figure S1 in Supporting Information. Parts of the  $Cl^-$  ions were oxidized and converted to  $Cl_2$  gases, getting out of the reactor, so the concentration of  $Cl^-$  ions decreased remarkably during the electrolysis process. In all samples,  $ClO_2^-$  and  $ClO_4^-$  ions were not detected, while trace  $ClO_3^-$  ions were found. The concentration of the  $ClO_3^-$  ions increased during the reaction. The quantitative analysis results of the anions in the samples are listed in Table 1. The concentration of impurity anions is quite low compared to  $Cl^-$  ions. For sample D, the concentration of impurity  $ClO_3^-$  anions accounted for 3.5% of anions, and the  $Al_{13}$  contents are as high as 99.2%, which should be sufficient even for cosmetics.

**3.4. Evolution of Product Basicity.** The basicity of the samples can be determined via the concentrations of total Al and the  $Cl^-/ClO_3^-$  ions by the following formula:

$$\text{Basicity} = \frac{[OH^-]'}{3[Al_t]} = \frac{[Cl^-]_0 - [Cl^-] - [ClO_3^-]}{3[Al_t]} \quad (3)$$

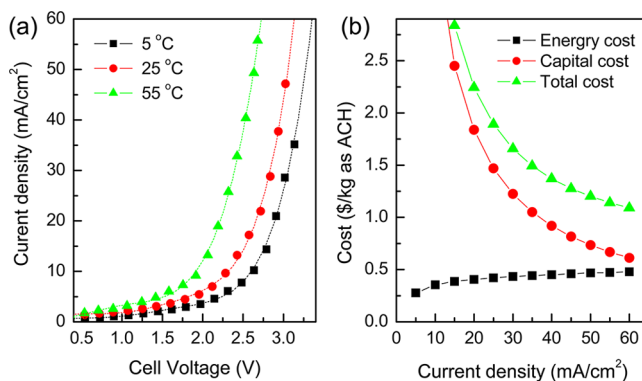
where  $[OH^-]'$  is the concentration of the generated hydroxyl groups;  $[Al_t]$  is the total Al concentration in solution;  $[Cl^-]_0$  is the initial concentration of  $Cl^-$ ;  $[Cl^-]$  and  $[ClO_3^-]$  are the detected concentrations of  $Cl^-$  and  $ClO_3^-$  ions, respectively. In this study,  $[Al_t]$  and  $[Cl^-]_0$  are 0.25 mol/L and 0.75 mol/L, respectively. As listed in Table 1, the basicity of samples D and E are very close to the theoretical basicity of pure  $Al_{13}$  chloride ( $AlO_4Al_{12}(OH)_{24}(H_2O)_{12}Cl_7$ , basicity = 82%), confirming that highly pure  $Al_{13}$  products were produced directly.

**3.5. Current Efficiency and Cost Estimation.** The optimum reaction end point is sample D for the synthesis process in this study, resulting in a current efficiency of about 41%. The current efficiency was lowered due to the side reactions involved in the electrolysis process. First,  $O_2$  gases are generated on anodes from the water dissociation reaction, which is useless for  $Al_{13}$  production. The Ti electrodes with  $RuO_2-IrO_2$  coating were chosen in this study because of its low superpotential for  $Cl_2$ , so the amount of  $O_2$  generated is limited when the concentration of  $Cl^-$  is relatively high. Second, the generated  $H_2$  and  $Cl_2$  gases may migrate to the counter electrode surfaces, and the following electrolytic reactions may occur:



These side reactions are reverse of the main electrolytic reactions, significantly lowering the current efficiency (eqs 1 and 2). Anion exchange membranes (AEMs) resistant to strong oxidants as well as alkali may be used to significantly increase the current efficiency.

The cell voltage in the electrolysis stack is quite low compared to previous reports. Figure 4a presents the cell voltage vs current density curves of the stack at 5, 25, and 55 °C, respectively. For instance, at 25 °C, the current density is 18 mA/cm<sup>2</sup> with a cell voltage of 2.6 V and increased to 45 mA/cm<sup>2</sup> with a cell voltage of 3.0 V.



**Figure 4.** (a) Current density vs cell voltage curves of the stack at different temperatures; the electrolytes are 0.25 mol/L  $AlCl_3$  for all tests. (b) Cost estimation of the electrolysis process at 25 °C.

The current density and energy consumption increases as the cell voltage rises, while many more products can be produced, so the capital cost decreases. There is a trade-off for current density. Table 2 lists part of the parameters to estimate the

**Table 2. Parameters for the Cost Estimation of Electrolysis Process**

parameters	electrolysis process	remarks
current efficiency (%)	41	
operation temperature (°C)	25	
anode electrode price (\$/m <sup>2</sup> )	500	
cathode electrode price (\$/m <sup>2</sup> )	500	
electrode area (m <sup>2</sup> )	10	
effective electrode area (m <sup>2</sup> )	8	
stack cost (\$)	15 000	1.5 times electrode cost
peripheral equipment cost (\$)	15 000	same as stack cost
total investment cost (\$)	30 000	
amortization (\$/year)	10 000	3 years
interest (\$/year)	2400	8% interest rate
maintenance (\$/year)	3000	10% investment cost
total capital cost (\$/year)	15 400	
electricity charge (\$/kWh)	0.10	

costs for the synthesis procedure, and the corresponding results are shown in Figure 4b. Details of the cost estimation were described in the Supporting Information. The energy cost increases slowly, and the capital cost decreases swiftly as the current density increases. The total cost decrease as the current density increases within the range from 5 to 60 mA/cm<sup>2</sup> tested in this study, and no inflection is observed, indicating that the most cost-effective current density may be higher than 60 mA/cm<sup>2</sup>. The optimal current density is not observed because of the output limitation of the DC power supply used in this study. The minimum cost is thus estimated at 60 mA/cm<sup>2</sup>, which is about \$1.09 for 1 kg of Al<sub>13</sub> products (calculated as ACH, \$0.48 for energy cost and \$0.61 as capital cost) at 25 °C.

It is also suggested in Figure 4a that high electrolyte temperature is favorable to reduce the cell voltage, so it is helpful to reduce the energy cost by heating the electrolyte solution during the electrolysis. The minimum cost is \$1.03 for 1 kg of Al<sub>13</sub> products as ACH at 55 °C.

#### 4. CONCLUSION

China has become the largest producer of aluminum electrode foil for electrolytic capacitors, the global demand of which has grown steadily and rapidly. A large amount of concentrated HCl solution (normally 6 M) was used in the etching process to generate porous structure on the smooth aluminum foil surface, and consequently, a large amount of waste acid containing AlCl<sub>3</sub> was produced. The waste HCl was usually recovered by DD.<sup>2</sup> The remaining solution contained high purity AlCl<sub>3</sub> and a small amount of HCl and has been an environmental concern for the aluminum electrode foil industry and environmental regulators. Typically, the AlCl<sub>3</sub> solution was neutralized by lime and discharged. This is by no means a satisfactory solution. Thus, the benefit of this technique is 2-fold. First, industry waste emission can be minimized since effluent after DD can be readily treated. Second, a highly valuable environmental protection product, Al<sub>13</sub>, was produced directly at low cost.

#### ■ ASSOCIATED CONTENT

##### ● Supporting Information

Details of cost estimation and supplementary figure on separation of anions in samples by ion chromatogram. This material is available free of charge via the Internet at <http://pubs.acs.org>.

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##### Notes

The authors declare no competing financial interest.

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## Supporting Information for

# “Cost-effective Production of Pure Al<sub>13</sub> from AlCl<sub>3</sub> by Electrolysis”

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### **Details of cost estimation**

The technology readiness level (TRL) of this work still stays low (TRL 4 at present), it is hard to calculate the cost precisely at this stage. So rough cost estimation is given in this study.

The process cost is estimated according to quotations from DSA vendors, experimental data as well as project experiences. All parameters and reasoning are presented in table 2. For a stack with 10 m<sup>2</sup> cathode and anode areas, the effective electrode areas maybe 20% less because the sealing takes up some areas. The stack consists of electrodes, spacers, endplates and et al. The majority of cost is electrode, and the cost of other stack components take approximately 50% of electrode, so the total cost of the stack is  $(\$500*10+\$500*10)*150%=\$15000$ . We also need auxiliary equipments such as pumps, piping systems, DC rectifier, degasifier, tank, mixer, PLC and et al. The cost of these peripheral equipments should be another \$15000 according to previous experiences. Therefore an investment of \$30000 is needed to setup a system with 8 m<sup>2</sup> effective electrode areas. Typically the capital investment is amortized during the lifespan to reduce the cash flow for industrial projects. The materials which



directly contact with the solutions are carefully selected for well chemical resistance in system design, so the 3-year lifespan is achievable for the system. In these 3 years, we must pay extra \$2400 interest to the bank each year at 8% interest rate. In addition, daily maintenance and human labor are needed to ensure the proper operation of the system, which is 10% of total capital investment per year. It means that in the 3-year lifespan, we need pay \$10000 (1/3 of investment) + \$2400 (interest for amortization) + \$3000 (maintenance) = \$15400 per year. The operation time typically is 8000 hours per year in industry, so the production of  $Al_{13}$  can be calculated by current density, current efficiency, electrode area as well as time. For example, the annual  $Al_{13}$  production as ACH at 60 mA/cm<sup>2</sup> was calculated as following:

$$\frac{600 A / m^2 \times 8 m^2 \times 8000 h \times 3600 s / h}{\frac{1000 g / kg \times 2.46 \times 2}{41\% \times 210.5 g / mol(ACH)} \times 96485 C / mol} = \frac{1.38 \times 10^{11} C}{5.50 \times 10^6 C / kg} = 2.51 \times 10^4 kg$$

We know that the annual capital cost was \$15400, so the capital cost is \$15400/25100 kg = \$0.61 per kg as ACH.

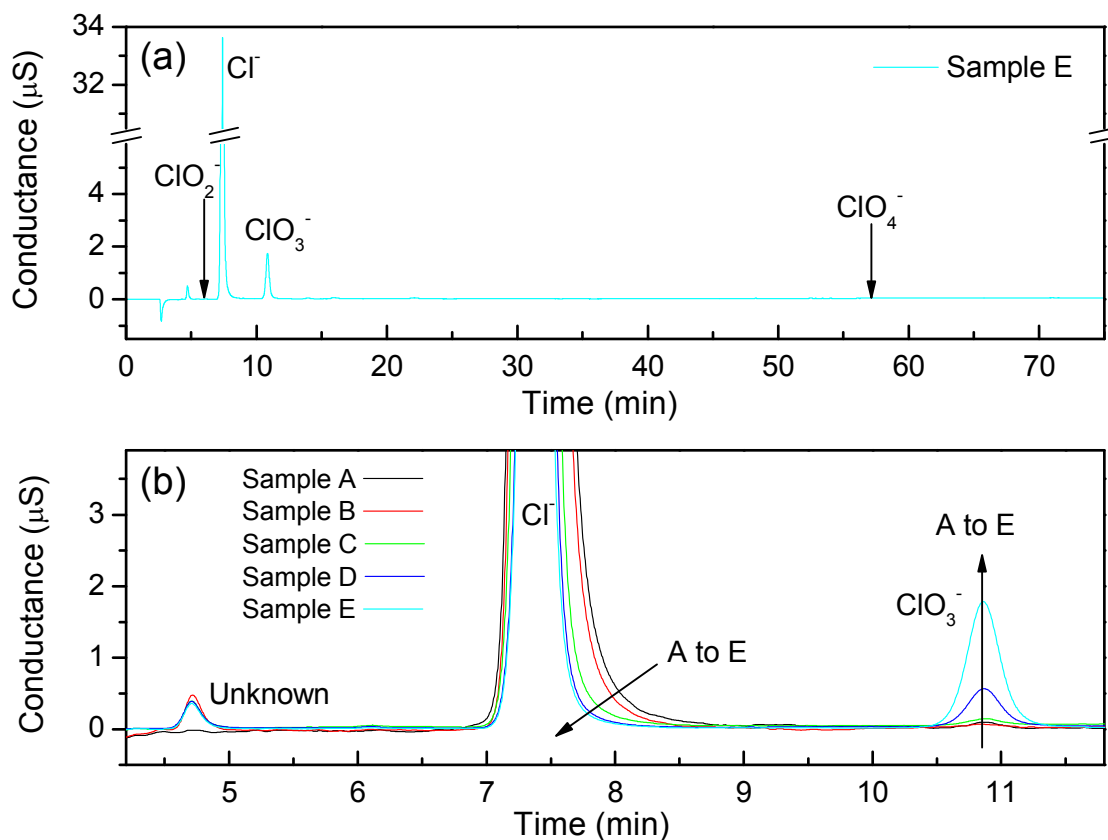
Similarly, the energy cost can be calculated by the cell voltage (presented in Figure 4a) and current efficiency. For example, the energy cost (mainly electrolysis) at 60 mA/cm<sup>2</sup> (cell voltage is 3.13V) was calculated as following:

$$3.13V \times \frac{1000 g / kg \times 2.46 \times 2}{41\% \times 210.5 g / mol(ACH)} \times 96485 C / mol = 1.72 \times 10^7 J / kg$$

$$= 4.78 kWh / kg$$

The charge of the industrial electricity is \$0.10/kWh, which also listed in table 2. So the energy cost is \$0.48 per kg as ACH.

The cost model is fully base on parameters in table 2. The capital costs as well as energy costs at different current densities are all calculated by the cost model computer program, and presented in Figure 4b.



**Figure S1.** Separation of anions in samples by ion chromatogram. a) full-scale spectrum of sample E and b) closed look of all samples. Ion chromatogram (DIONEX® ICS-1000) was employed to analyze the anions in the samples under the following conditions: column, Dionex® IonPac® AS23 (4 mm); eluent, 4.5 mM Sodium carbonate/0.8 mM Sodium bicarbonate; flow rate, 1.0 mL/min; detection, suppressed conductivity with an anion self-regenerating suppressor (ASRS) operated at 25 mA in recycle mode; injection volume, 25  $\mu\text{L}$ ; temperature: 35 °C. The samples were diluted 100-500 times with deionized water before the analysis.